

Box Patent Application Commissioner of Patents and Trademarks Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Edlund et al.

WARNING: Patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41(a) and 1.53(b).

For (title): A System and Method for Scheduled Events to Subscribe to Live Information Topics

1. Type of Application

This new	applica	tion is for a	ı(n) (check	one applica	ble item belov	v):
	Y	Utility				

 Design
Plant

NOTE: If one of the following 3 items apply them complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.

 Divisional
 Continuation
 Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

 The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are
ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S.
APPLICATION(S) CLAIMED.

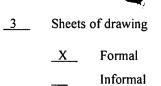
3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

10	Pages of Specification and Abstract

7 .	Pages	of Claims
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5.

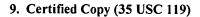


NOTE: "Identifying indicia such as the serial number, group and unit, title of the invention, attorney's docket number, inventor's name, number of sheets, etc., not to exceed 2 3/4 inches (7.0cm in width may be placed in a centered location between the side edges within three fourths inch (19.1mm) of the top edge. Either this marking technique on the front of the drawing or the placement, although not preferred, of this information and the title of the invention on the back of the drawings is acceptable." Proposed 37 CFR 1.84(1). Notice of March 9, 1988 (1090 O.G. 57-62).

4. A	dditional l	Papers Enclosed
		Preliminary Amendment
	_X	Information Disclosure Statement (37 CFR 1.98)
	<u>X</u>	Form PTO-1449
	<u>X</u>	Citations (2 References)
		Declaration of Biological Deposit
		Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
		Authorization of Attorney(s) to Accept and Follow Instructions from Representative
		Special Comments
		Other
5. D	eclaration	or Oath
J. 2	<u>X</u>	Enclosed executed by (check all applicable boxes)
		X inventor(s).
		legal representative of inventor(s). 37 CFR 1.42 or 1.43
		joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
		this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See Item 13 below for fee.

			AM9-99-0216
	Not Enclosed		
			1.41(c) on behalf of all the above named e required by 37 CFR 1.16(e) can be filed
NOTE: It is importa	nt that all the correct inventor(s) are n	amed for filing under 37 CFR 1.41(c)	and 1.53(b).
	Showing that the fi	ling is authorized. (Not require	red unless called into question. 37 CFR
6. Inventorship	Statement		
	amed inventors are each not the inventor invention was made, should be subm		cluding the ownership of the various claims at the
The Inv	entorship for all the claims in	this application are:	
	X the same		
	or		
	are not the same. An the last claimed inve		mership of the various claims at the time
	is submitte	d	
	will be sub	mitted	
7. Language			
<u>X</u>	English		
_	non-English		
	The attached transla	tion is a verified translation. 3	7 CFR 1.52(d).
8. Assignment			
<u>X</u>	An assignment of the inventio	n to INTERNATIONAL BU	SINESS MACHINES CORPORATION
<u>X</u>	is attached. A separate		
	X "COVER SHEET PATENT APPLICA FORM PTO 1595 is	ATION" or	CUMENT) ACCOMPANYING NEW
	will follow		

NOTE: "If an assignment is submitted with a new application, send two separate letters-one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).



Certified copy(ies) of application(s):

(country)	(appln. no.)	(filed)

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irom	wnich	priority	18	claimed
		F		

15/	are	atta	Che	ed.

___ will follow.

10. Fee Calculation (37 CFR 1.16)

A. X Regular application

CLAIMS AS FILED						
Number filed			Num. Extra		Rate	Basic Fee \$690.00
Total Claims	21	-20=	1	X	\$18.00	\$18.00
Independent Claims	4	-3=	1	X	\$78.00	\$78.00
Multiple dependent claim(s), if any	0				\$260.00	\$0.00

____ Amendment deleting multiple dependencies enclosed.

___ Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing, they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

		Filing Fee Calculation	\$_786.00
В.	_	Design application (\$310.0037 CFR 1.16(f)) Filing Fee Calculation	\$
C.	_	Plant application (\$480.0037 CFR 1.16(g)) Filing Fee Calculation	\$



11. Small Entity Statement(s)

	_ Veri	Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is/are attached						
		Filing Fee Calculation (50% of A, B or C above)	\$					
12. Reques	st for Inter	rnational-Type Search (complete, if applicable)						
		Please prepare an international-type search report for this application at the time when nation examination on the merits takes place.						
13. Fee Pa	yment Bei	ng Made At This Time						
	_ Not	Not Enclosed						
		No filing fee is to be paid at this time. (This and the surcharge required by 37 can be paid subsequently.)						
<u>_X</u>	Encl	Enclosed						
	X	_ basic filing fee	\$ <u>786.00</u>					
	<u>X</u>	recording assignment (\$40.00; 37 CFR 1.21(h))	\$_40.00					
	_	petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))	\$					
		for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k))	\$					
	_	processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$					
	_	fee for international-type search report (\$40.00; 37 CFR 1.21(e))	\$					
		Total fees enclosed	\$ 826.00					
14. Method	l of Payme	ent of Fees						
<u>X</u>	Chec	Check in the amount of \$\frac{826.00}{						
		Charge Account No. <u>12-0010</u> in the amount of \$ A duplicate of this transmit attached.						



NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid.

15. Authorization to Charge Additional Fees

refund

WARNING: If no fees are to be paid on filing, the following items should not be completed.

WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

<u>X</u>	The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. <u>12-0010</u> :	
		37 CFR 1.16(a), (f) or (g) (filing fees)
		37 CFR 1.16(b), (c) or (d) (presentation of extra claims)
	<u>X</u>	Any deficiencies in the fees provided.
NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency, it might be best not to authorize the PTO to charge additional fees, except possibly when dealing with amendments after final action.		
		37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later
		than the filing date of the application.)
		37 CFR 1.17 (application processing fees)
		37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR
		1.311(b)).
16. Instruction As To Overpayment		
<u>X</u>	credit A	ccount No. <u>12-0010</u>

Reg. No. 34,368

Tel. No. (703) 415-1015



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SIGNATURE OF APPLICANT'S REPRESENTATIVE Randy W. Lacasse

SIGN Rand

> Lacasse & Associates 2001 Jefferson Davis Hwy, Suite 806 Arlington, VA 22202

<u>X</u>	Incorp	Incorporation by reference of added pages		
		Check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.		
		Plus added pages for new application transmittal where benefit of prior U.S. application(s) claimed.		
	•	Number of pages added		
	<u>X</u>	Plus added pages for papers referred to in Item 4 above		
		Number of pages added 2 pages plus 2 references		
	<u>X</u>	Plus "Assignment Cover Letter Accompanying New Application"		
		Number of pages added <u>3 pages</u>		

Statement Where No Further Pages Added